

On the VC Dimension of First-Order Logic with Counting and Weight Aggregation

Steffen van Bergerem and Nicole Schweikardt

CSL 2025

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- Grohe and Turán (TOCS 2004) gave upper bounds for FO and MSO definable concepts on several classes of structures

- set
$$X = \{1, 2, 3, 4\}$$

- family of subsets
$$\mathcal{S} = \{\{1,2\},\{2,3\},\{3,4\},\{1,2,4\}\} \subseteq 2^X$$

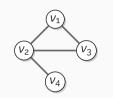
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- subset $U \subseteq X$ is shattered by S if $U \cap S := \{U \cap S \mid S \in S\} = 2^U$
- $\{1,2\}$ is not shattered by $\mathcal{S},$ since $\ \{1,2\}\cap\mathcal{S}=\big\{\{1,2\},\{2\},\{\}\big\}$
- $\{1,4\}$ is shattered by $\mathcal{S},$ since $\ \{1,4\}\cap\mathcal{S}=\big\{\{1\},\{\},\{4\},\{1,4\}\big\}$

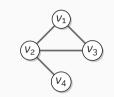
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- $\{1,4\}$ is shattered by $\mathcal{S},$ since $\ensuremath{\left\{1,4\right\}}\cap\mathcal{S}=\left\{\{1\},\{\},\{4\},\{1,4\}\right\}$
- VC dimension of ${\mathcal S}$ is maximum size of a set shattered by ${\mathcal S}$
- $VCdim(S) = |\{1,4\}| = 2$

$$\varphi(\bar{x},\bar{y}) = \varphi(x,y_1,y_2) = E(x,y_1) \lor x = y_2$$

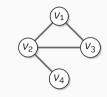


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$$X = (V(G))^{|\bar{y}|} = (V(G))^2$$

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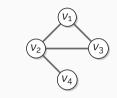


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$$S_G^{\varphi} = \{S_G^{\varphi, \nu} \mid \nu \in V(G)\}$$
, where
 $S_G^{\varphi, \nu} = \{w_1 w_2 \in X \mid G \models \varphi(\nu, w_1, w_2)\}$

	S_G^{φ,v_1}	S_G^{φ,v_2}	S_G^{φ,v_3}	S_G^{φ,v_4}
V_1V_1	1	1	1	X
$V_{1}V_{2}$	×	1	 Image: A second s	×
V_1V_3	×	1	1	×
V_1V_4	×	\checkmark	\checkmark	\checkmark
V_2V_1	1	×	\checkmark	\checkmark
V_2V_2	1	\checkmark	\checkmark	\checkmark
V_2V_3	1	×	\checkmark	\checkmark
V_2V_4	1	×	\checkmark	\checkmark
V_3V_1	1	\checkmark	×	×
V_3V_2	1	\checkmark	×	×
V_3V_3	1	\checkmark	\checkmark	×
V_3V_4	1	\checkmark	×	 Image: A second s
V_4V_1	\checkmark	\checkmark	×	×
V_4V_2	×	1	×	×
$V_{4}V_{3}$	×	1	\checkmark	×
V_4V_4	×	\checkmark	×	\checkmark

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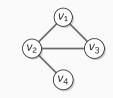
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-
$$\operatorname{VCdim}(\varphi, G) \coloneqq \operatorname{VCdim}(\mathcal{S}_G^{\varphi}) = |\{v_4v_3, v_4v_4\}| = 2$$

	S_G^{φ,v_1}	S_G^{φ,v_2}	S_G^{φ,v_3}	S_G^{φ,v_4}
V_1V_1	1	1	 Image: A second s	X
V_1V_2	×	1	1	×
V_1V_3	×	1	 Image: A second s	×
V_1V_4	×	1	 Image: A second s	1
$V_{2}V_{1}$	1	×	 Image: A second s	1
V_2V_2	1	1	\checkmark	1
V_2V_3	1	×	\checkmark	\checkmark
V_2V_4	1	×	\checkmark	\checkmark
V_3V_1	1	\checkmark	×	×
V_3V_2	1	\checkmark	×	×
V_3V_3	1	\checkmark	\checkmark	×
V_3V_4	1	1	×	\checkmark
V_4V_1	\checkmark	\checkmark	×	×
$V_{4}V_{2}$	×	\checkmark	×	×
V_4V_3	×	 Image: A second s	 Image: A second s	×
V_4V_4	×	 Image: A second s	×	 Image: A second s

$$\varphi(\bar{x},\bar{y}) = \varphi(x,y_1,y_2) = E(x,y_1) \lor x = y_2$$



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- VCdim
$$(\varphi, G) :=$$
 VCdim $(\mathcal{S}_G^{\varphi}) = |\{v_4v_3, v_4v_4\}| = 2$

- $\operatorname{VCdim}(\varphi, G) \in \mathcal{O}(\log |V(G)|)$ for all φ and G

	S_G^{φ,v_1}	S_G^{φ,v_2}	S_G^{φ,v_3}	S_G^{φ,v_4}
V_1V_1	1	 Image: A second s	 Image: A second s	X
V_1V_2	×	1	1	×
V_1V_3	×	1	1	×
V_1V_4	×	1	 Image: A second s	1
V_2V_1	1	×	 Image: A second s	1
V_2V_2	1	 Image: A second s	 Image: A second s	1
V_2V_3	1	×	 Image: A second s	1
V_2V_4	1	×	 Image: A second s	1
V_3V_1	1	 Image: A second s	×	×
V_3V_2	1	 Image: A second s	×	×
V_3V_3	1	 Image: A second s	 Image: A second s	×
V_3V_4	1	 Image: A second s	×	1
V_4V_1	1	\checkmark	×	×
$V_{4}V_{2}$	×	 Image: A second s	×	×
V_4V_3	×	1	1	X
V_4V_4	×	 Image: A second s	×	 Image: A second s

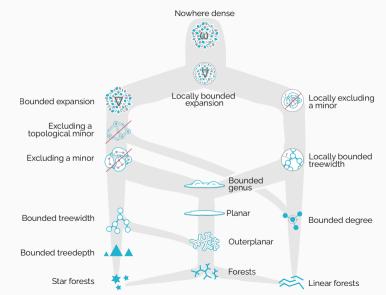
Let C be a nowhere dense graph class, and let $\varphi(\bar{x}, \bar{y})$ be an FO formula.

Adler and Adler, 2014

There is a constant $d \in \mathbb{N}$ such that $VCdim(\varphi, G) \leq d$ for all $G \in \mathcal{C}$.

Classes of Sparse Graphs

Illustration by Felix Reidl



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There is a constant $d \in \mathbb{N}$ such that the ladder index of φ in G is at most d for all $G \in C$. Thus, nowhere dense graph classes are stable.

Pilipczuk, Siebertz, and Toruńczyk, 2018

The VC density of φ in G is at most $|\bar{x}|$ for all $G \in C$. This bound is optimal.

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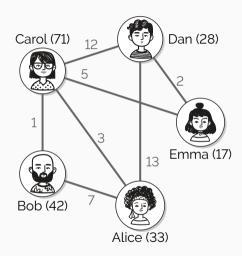
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v. B. and Schweikardt, 2025

All of the above also hold for nowhere dense classes of vertex- and edge-**weighted graphs** and FOC₁ and **FOWA**₁ **formulas**.

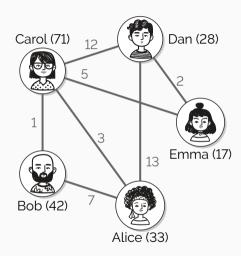


Introduced in (v. B. and Schweikardt, CSL 2021)

Terms

$$- t(x) = \sum_{y} a(y) \cdot \ell(x, y) \cdot E(x, y)$$

Formulas



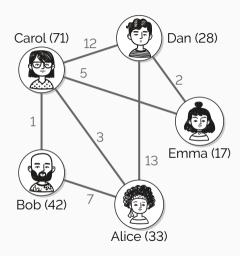
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Terms

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$$t(x) = \sum_{y} a(y) \cdot \ell(x, y) \cdot E(x, y)$$

Formulas

-
$$\varphi_1(x) = \left(\sum_y \alpha(y) \cdot E(x, y) \leq \sum_y \alpha(y) \cdot \neg E(x, y)\right)$$



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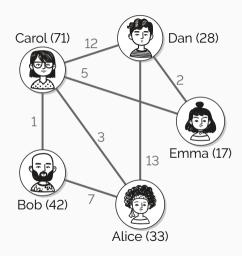
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$$- \varphi_2(x) = \exists y \left(\#(z) . \left(E(x,z) \land E(y,z) \right) \geqslant 2 \right)$$



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FOWA₁

- subformulas comparing terms may only have at most one free variable

Main results

Let C be a nowhere dense class of vertex- and edge-weighted graphs, and let $\varphi(\bar{x}, \bar{y})$ be an FOC₁ or FOWA₁ formula.

v. B. and Schweikardt, 2025

There is a constant $d \in \mathbb{N}$ such that the **VC dimension** and the **ladder** index of φ in *G* are at most *d* for all $G \in C$.

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For every $\varepsilon > 0$, there exists a constant *c* such that for every *G* in *C* and every non-empty $W \subseteq V(G)$, we have

$$\left| \mathcal{W}^{|ar{y}|} \cap \mathcal{S}^{arphi}_{G}
ight| \leqslant c \cdot |\mathcal{W}|^{|ar{x}|+arepsilon|}$$

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This lifts a similar result from (Pilipczuk, Siebertz, and Toruńczyk, 2018) from FO to more expressive logics on more expressive graph classes.

main tool: locality results for FOWA1

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There is an FOC₂ formula with **unbounded VC dimension** on the class of all unranked trees of height at most 3.